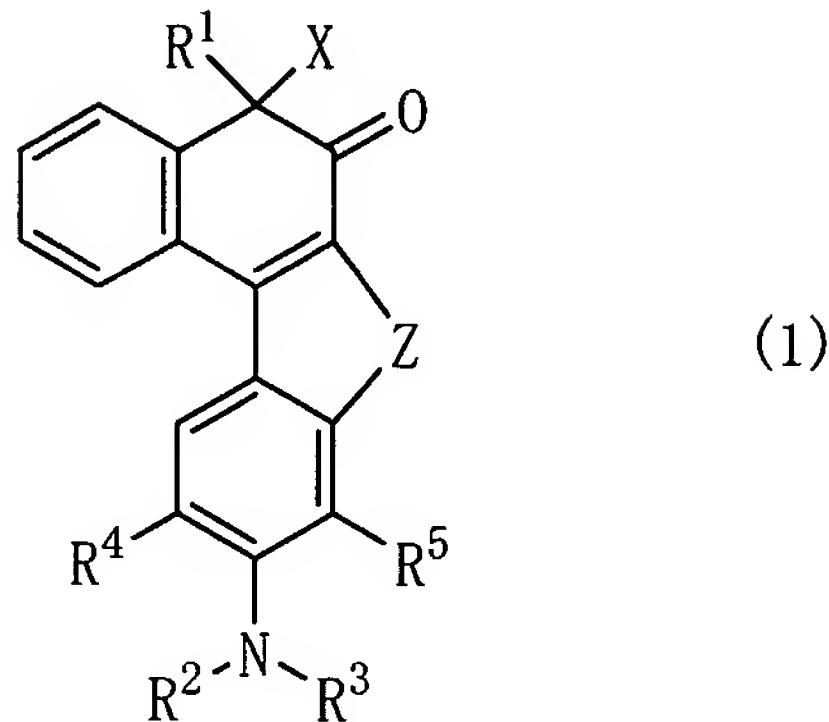


LISTING OF CLAIMS:

1. (Original) A heteropolycyclic compound represented by General Formula (1):

[Chemical Formula 1]



wherein R¹ is a straight- or branched-chain C₁-C₁₀ alkyl group, a substituted or unsubstituted C₅-C₁₀ cycloalkyl group or a substituted or unsubstituted phenyl group;

R² and R³ are the same or different and are each a straight- or branched-chain C₁-C₁₀ alkyl group, a substituted or unsubstituted C₅-C₁₀ cycloalkyl group or a substituted or unsubstituted phenyl group, or R₂ and R₃ may be linked to each other to form, together with the nitrogen atom to which they are attached, a heterocyclic ring;

R⁴ and R⁵ are each a hydrogen atom;

R² and R⁴, and/or R³ and R⁵ may be linked to each other to form a straight- or branched-chain C₂-C₇ alkylene group;

X is a hydrogen atom, a straight- or branched-chain C₁-C₁₀ alkyl group, a substituted or unsubstituted C₅-C₁₀ cycloalkyl group, a substituted or unsubstituted phenyl group, a halogen

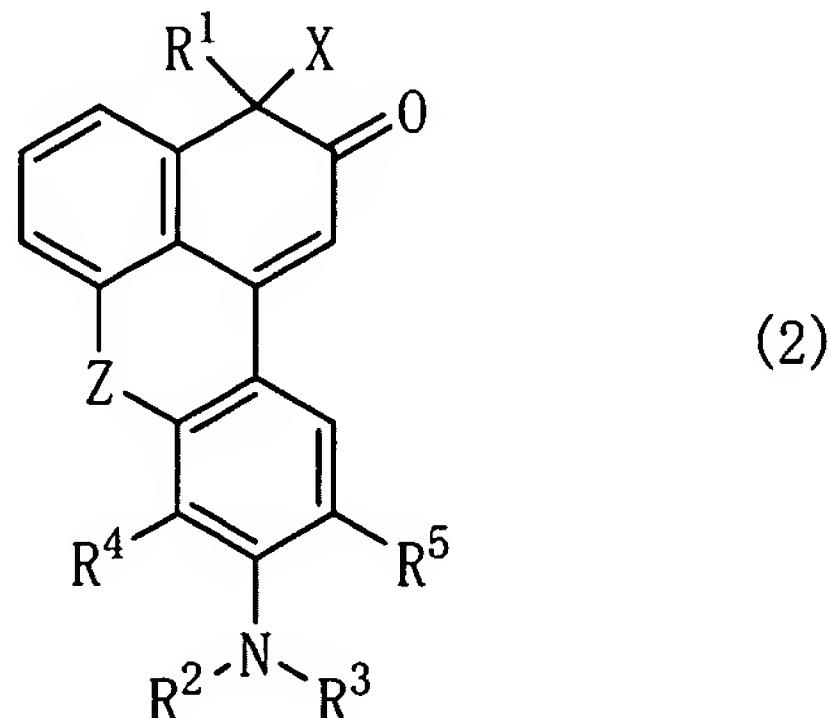
atom, an $-OCOR^6$ group, an $-OR^6$ group, an SR^6 group or an $-NR^6R^7$ group;

R^6 and R^7 are the same or different and are each a hydrogen atom, a straight- or branched-chain C_1-C_6 alkyl group or a substituted or unsubstituted C_5-C_{10} cycloalkyl group; and Z is a divalent group.

2. (Original) A heteropolycyclic compound according to claim 1, wherein, in General Formula (1), R^1 is a straight- or branched-chain C_1-C_{10} alkyl group or a substituted or unsubstituted phenyl group; R^2 and R^3 are each independently a straight- or branched-chain C_1-C_{10} alkyl group; R^4 and R^5 are each a hydrogen atom; X is a hydrogen atom, a straight- or branched-chain C_1-C_{10} alkyl group, a hydroxy group or an $-OCOR^6$ group wherein R^6 is a hydrogen atom or a straight- or branched-chain C_1-C_6 alkyl group; and Z is $-O-$, $-S-$ or $-NR^6-$ wherein R^6 is a hydrogen atom or a straight- or branched-chain C_1-C_6 alkyl group.

3. (Original) A heteropolycyclic compound represented by General Formula (2):

[Chemical Formula 2]



wherein R¹ is a straight- or branched-chain C₁-C₁₀ alkyl group, a substituted or unsubstituted C₅-C₁₀ cycloalkyl group or a substituted or unsubstituted phenyl group;

R² and R³ are the same or different and are each a straight- or branched-chain C₁-C₁₀ alkyl group, a substituted or unsubstituted C₅-C₁₀ cycloalkyl group or a substituted or unsubstituted phenyl group, or R² and R³ may be linked to each other to form, together with the nitrogen atom to which they are attached, a heterocyclic ring;

R⁴ and R⁵ are each a hydrogen atom;

R² and R⁴, and/or R³ and R⁵ may be linked to each other to form a straight- or branched-chain C₂-C₇ alkylene group;

X is a hydrogen atom, a straight- or branched-chain C₁-C₁₀ alkyl group, a substituted or unsubstituted C₅-C₁₀ cycloalkyl group, a substituted or unsubstituted phenyl group, a halogen atom, an -OCOR⁶ group, an -OR⁶ group, an -SR⁶ group or an -NR⁶R⁷ group;

R⁶ and R⁷ are the same or different and are each a hydrogen atom, a straight- or branched-chain C₁-C₆ alkyl group or a substituted or unsubstituted C₅-C₁₀ cycloalkyl group; and

Z is a divalent group.

4. (Original) A heteropolycyclic compound according to claim 3, wherein, in General Formula (2), R¹ is a straight- or branched-chain C₁-C₁₀ alkyl group or a substituted or unsubstituted phenyl group; R² and R³ are each independently a straight- or branched-chain C₁-C₁₀ alkyl group; R⁴ and R⁵ are each a hydrogen atom; X is a hydrogen atom, a straight- or branched-

chain C₁-C₁₀ alkyl group, a hydroxy group or an -OCOR⁶ group wherein R⁶ is a hydrogen atom or a straight- or branched-chain C₁-C₆ alkyl group; and Z is -O-, -S- or -NR⁶- wherein R⁶ is a hydrogen atom or a straight- or branched-chain C₁-C₆ alkyl group.

5. (Currently amended) A colorant comprising a heteropolycyclic compound according to claim 1 ~~any one of claims 1 to 4~~.

6. (Currently amended) A pigment or dye comprising a heteropolycyclic compound according to claim 1 ~~any one of claims 1 to 4~~.

7. (New) A colorant comprising a heteropolycyclic compound according to claim 2.

8. (New) A colorant comprising a heteropolycyclic compound according to claim 3.

9. (New) A colorant comprising a heteropolycyclic compound according to claim 4.

10. (New) A pigment or dye comprising a heteropolycyclic compound according to claim 2.

11. (New) A pigment or dye comprising a heteropolycyclic compound according to claim 3.

12. (New) A pigment or dye comprising a heteropolycyclic compound according to claim 4.